

Individual Retirement Account (IRA) Conversion and Recharacterization Request Form



Complete this form to (a) convert your Traditional IRA to a new or existing Roth IRA or (b) recharacterize your contribution or conversion to a Traditional IRA.

Please complete all applicable fields using blue or black ink, and print clearly in capital letters.

1. Investor Information

| | | | |
|---|----------------------|----------------------------------|---------------|
| Full Legal Name (First, MI, Last, Suffix) | | Social Security or Tax ID Number | Date of Birth |
| Daytime Phone Number | Evening Phone Number | Email Address | |

2. Account Allocation Information

Indicate below how the conversion or recharacterization amount should be allocated from your fund(s).

| Fund Name and Share Class | Fund Number | Full Account Number | Amount (\$ or %) |
|---------------------------|-------------|---------------------|--|
| | | | <input type="checkbox"/> \$ <input type="checkbox"/> % |
| | | | <input type="checkbox"/> \$ <input type="checkbox"/> % |
| | | | <input type="checkbox"/> \$ <input type="checkbox"/> % |

3. Type and Method of Distribution

Convert Traditional IRA to a Roth IRA

Convert: All shares Dollar Amount \$ _____ Percentage _____ %

To: A new Roth IRA (Complete and return an IRA New Account Application)

My existing Roth IRA below*

| | |
|--|---------------------|
| _____ | _____ |
| Fund Name and Share Class or Fund Number | Full Account Number |

*I understand that commingling conversion assets with other assets is not recommended.

Recharacterize Contribution

Recharacterize my contribution of \$ _____ for tax year **20**____ from my Roth account

To: A new Traditional IRA (Complete and return an IRA New Account Application)

My existing Traditional IRA below

| | |
|--|---------------------|
| _____ | _____ |
| Fund Name and Share Class or Fund Number | Full Account Number |

Recharacterize Conversion

All shares will be recharacterized unless you enter a specific dollar amount below.

Recharacterize my Conversion of \$ _____ for tax year **20**____

To: A new Traditional IRA (Complete and return an IRA New Account Application)

My existing Traditional IRA below

| | |
|--|---------------------|
| _____ | _____ |
| Fund Name and Share Class or Fund Number | Full Account Number |

Note: Effective **January 1, 2018**, a conversion from a Traditional, SEP, or SIMPLE IRA to a Roth IRA cannot be recharacterized. A Roth IRA conversion made in 2017 may be recharacterized as a contribution to a Traditional IRA if the recharacterization is made by **October 15, 2018**.

4. Acknowledgements and Signature Certification

By signing below, you:

- Assume full responsibility for all tax consequences of your elections.
- Agree that UMB Bank n.a., Federated, the funds, their affiliates and agents will not be responsible for any losses, claims, expenses and liabilities that result from accepting these instructions.

Please sign exactly as your name appears in the account registration.

Investor Signature

____|____|____|____|____|____|____|____|____|____|

Date

5. Mailing Instructions

Please mail this form and any additional documentation to **The Federated Funds:**

Regular Mail:

P.O. Box 219318
Kansas City, MO 64121-9318

Overnight Delivery:

430 W 7th Street, Suite 219318
Kansas City, MO 64105-1407